

Modeling Dynamical Instability of Homogeneous Charge Compression Ignition (HCCI) in Combustion Engines

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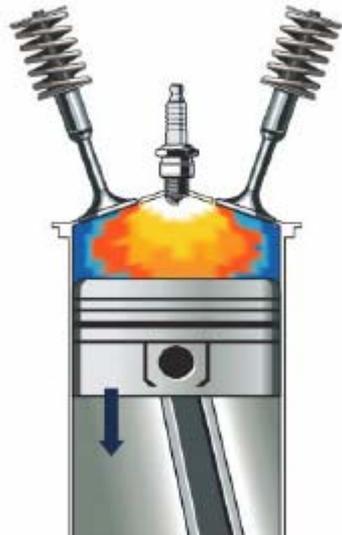
Sponsor: U.S. DOE, Office of Vehicle Technologies
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Background / Motivation:

- There is much interest in utilizing HCCI combustion in transportation engines for reducing nitrogen oxide (NOx) emissions and increasing fuel efficiency
- HCCI is fundamentally different from conventional combustion
 - Volumetric reaction rather than localized flame front
 - Only occurs for limited range of temperature and chemical species concentrations

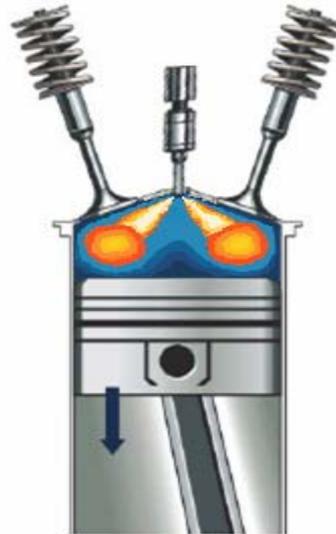
Spark-ignition

- Pre-mixed fuel-air charge
- Spark ignition
- Flame front propagates through pre-mixed charge



Diesel

- Fuel injected into compressed fresh-air charge
- Hot air ignites fuel
- Relatively stationary diffusion flames



HCCI

- Pre-mixed, pre-heated fuel-air charge
- Compression ignition
- Uniform, spontaneous combustion without flame front



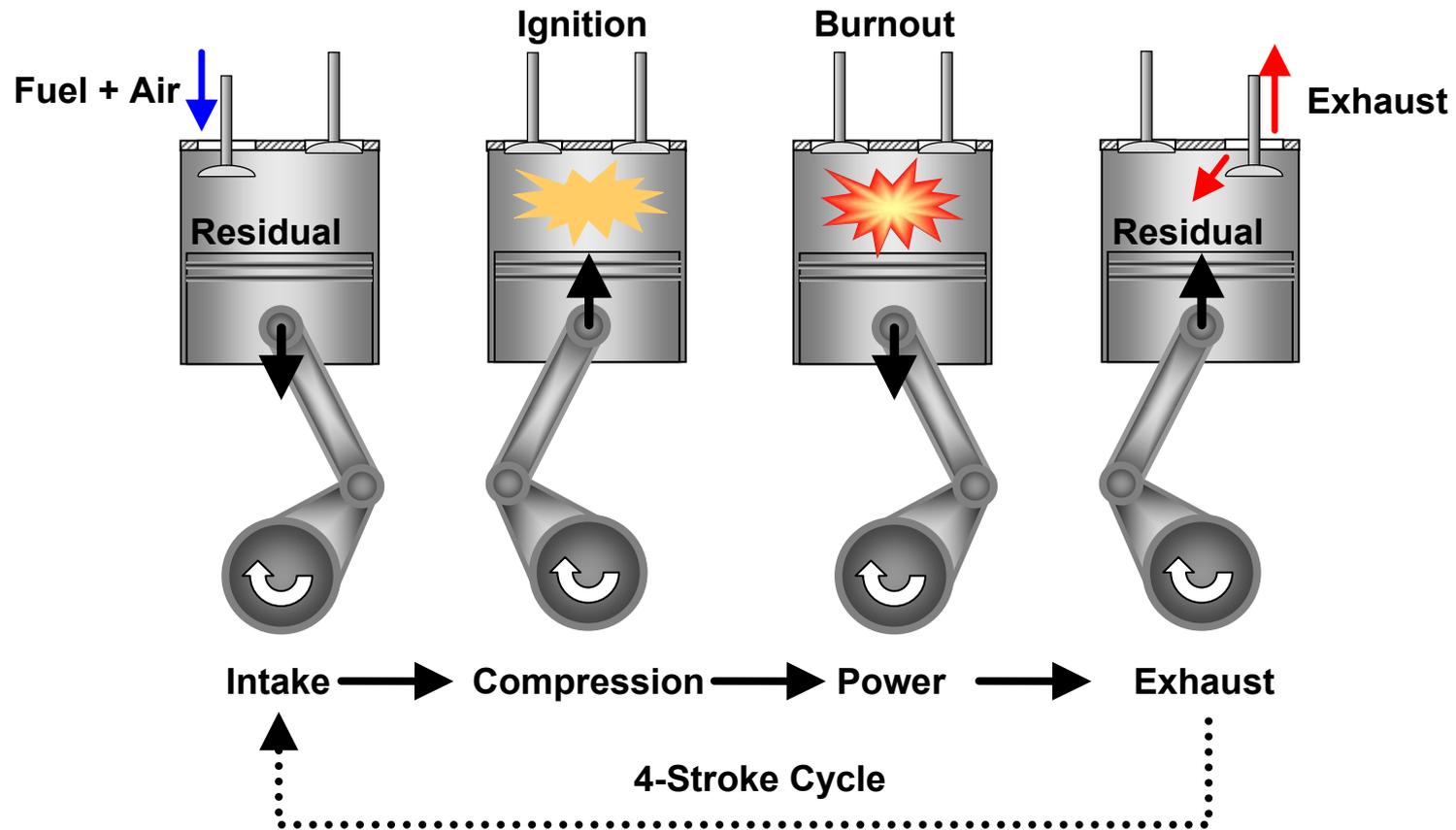
Motivation:

- In today's engines, stable HCCI only possible for limited range of speed and load
- Practical application requires two key developments:
 - Rapid switching between HCCI and spark-ignition (SI) combustion
 - Expansion of HCCI operating envelope via feedback stabilization

Study Objectives:

- Improve understanding of dynamic combustion instability associated with HCCI
- Develop simplified combustion models for rapid simulation, diagnostics, and controls

Engineering Context: The 4-Stroke IC Engine



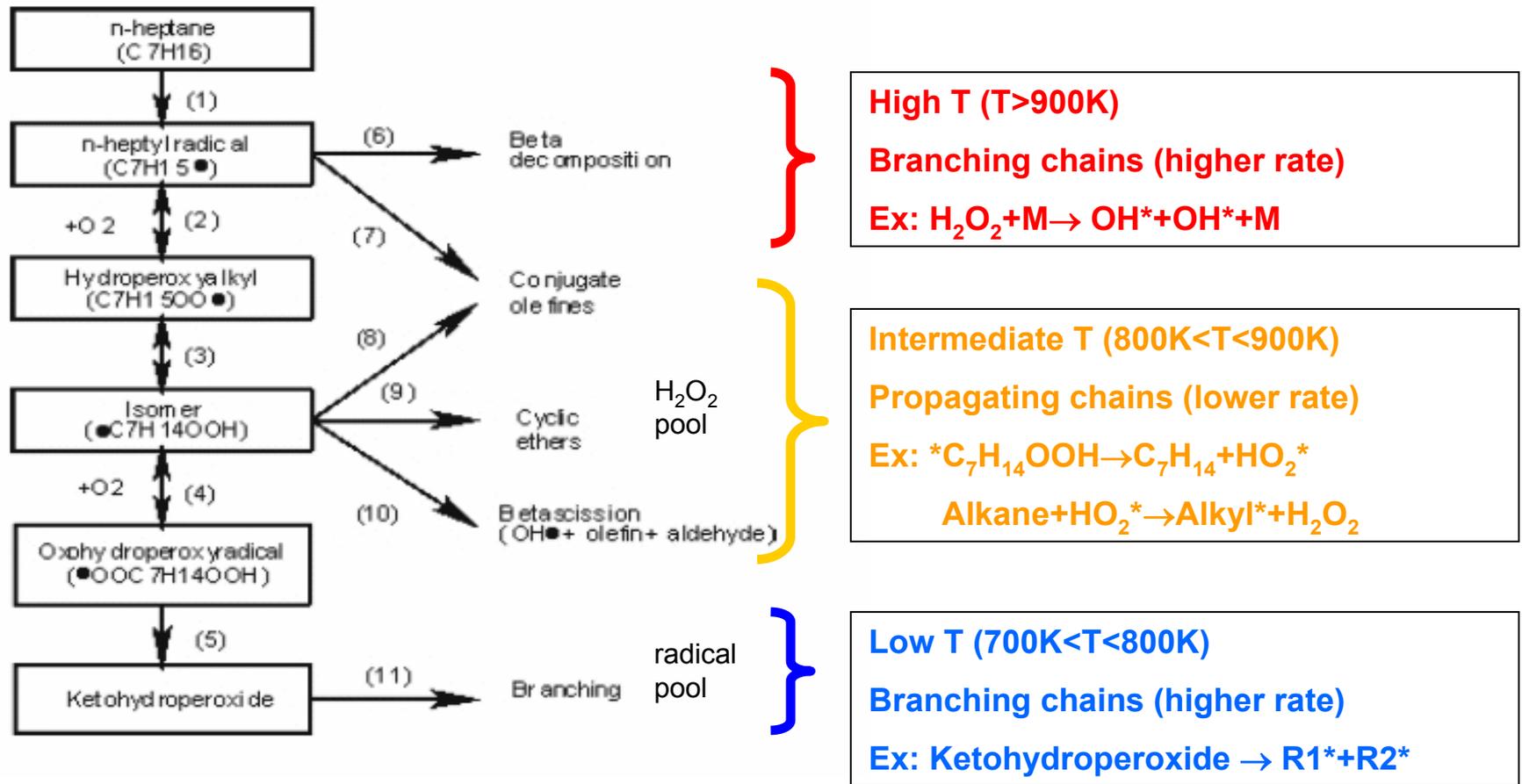
- In conventional gasoline engines, a spark plug ignites combustion
- HCCI requires residual gas for preheating but no spark plug
- For HCCI, typically >50% of gas in cylinder is residual
- High residual creates strong cycle-to-cycle coupling

Explicit modeling of combustion chemistry and kinetics can be extremely complex

No. Reaction	No. Reaction	No. Reaction	No. Reaction
1f $O_2 + H \rightarrow OH + O$	31f $1-CH_2 + O_2 \rightarrow CO + OH + H$	61f $C_2H_4 + M \rightarrow C_2H_2 + H_2 + M$	91 $1-C_7H_{15} \rightarrow 1-C_5H_{11} + C_2H_4$
2f $H_2 + O \rightarrow OH + H$	32f $1-CH_2 + H_2 \rightarrow CH_3 + H$	62f $C_2H_4 + H \rightarrow C_2H_3 + H_2$	92 $2-C_7H_{15} \rightarrow P-C_4H_9 + C_3H_6$
3f $H_2 + OH \rightarrow H_2O + H$	33f $CH_2O + M \rightarrow HCO + H + M$	63f $C_2H_4 + OH \rightarrow C_2H_3 + H_2O$	93 $2-C_7H_{15} \rightarrow 1-C_6H_{12} + CH_3$
4f $2OH \rightarrow H_2O + O$	34f $CH_2O + H \rightarrow HCO + H_2$	64f $C_2H_5 \rightarrow C_2H_4 + H$	94 $1-C_7H_{15} \rightarrow 2-C_7H_{15}$
5f $2H + M \rightarrow H_2 + M$	35f $CH_2O + O \rightarrow HCO + OH$	65f $C_2H_5 + H \rightarrow 2CH_3$	95 $2-C_7H_{15} \rightarrow 1-C_7H_{15}$
6f $2O + M \rightarrow O_2 + M$	36f $CH_2O + OH \rightarrow HCO + H_2O$	66f $C_2H_5 + O_2 \rightarrow C_2H_4 + HO_2$	96 $N-C_7H_{16} \rightarrow P-C_4H_9 + N-C_3H_7$
7f $H + OH + M \rightarrow H_2O + M$	37f $CH_2O + HO_2 \rightarrow HCO + H_2O_2$	67 $C_2H_6 + H \rightarrow C_2H_5 + H_2$	97 $N-C_7H_{16} + H \rightarrow 1-C_7H_{15} + H_2$
8f $H + O_2 + M \rightarrow HO_2 + M$	38f $CH_3 + O \rightarrow CH_2O + H$	68 $C_2H_6 + OH \rightarrow C_2H_5 + H_2O$	98 $N-C_7H_{16} + H \rightarrow 2-C_7H_{15} + H_2$
9f $HO_2 + H \rightarrow 2OH$	39f $CH_3 + H \rightarrow CH_4$	69 $C_2H_6 + CH_3 \rightarrow C_2H_5 + CH_4$	99 $N-C_7H_{16} + OH \rightarrow 1-C_7H_{15} + H_2O$
10f $HO_2 + H \rightarrow H_2 + O_2$	40 $CH_3 + OH \rightarrow CH_3O + H$	70 $C_3H_4 + OH \rightarrow CH_2O + C_2H_3$	100 $N-C_7H_{16} + OH \rightarrow 2-C_7H_{15} + H_2O$
11f $HO_2 + H \rightarrow H_2O + O$	41 $CH_3 + O_2 \rightarrow CH_2O + OH$	71 $C_3H_4 + OH \rightarrow HCO + C_2H_4$	101 $N-C_7H_{16} + HO_2 \rightarrow 1-C_7H_{15} + H_2O_2$
12f $HO_2 + O \rightarrow OH + O_2$	42f $CH_3 + HO_2 \rightarrow CH_3O + OH$	72f $C_3H_5 \rightarrow C_3H_4 + H$	102 $N-C_7H_{16} + HO_2 \rightarrow 2-C_7H_{15} + H_2O_2$
13f $HO_2 + OH \rightarrow H_2O + O_2$	43f $CH_3 + HO_2 \rightarrow CH_4 + O_2$	73f $C_3H_5 + H \rightarrow C_3H_4 + H_2$	103 $N-C_7H_{16} + O_2 \rightarrow 1-C_7H_{15} + HO_2$
14f $2HO_2 \rightarrow H_2O_2 + O_2$	44 $2CH_3 \rightarrow C_2H_4 + H_2$	74f $C_3H_5 + O_2 \rightarrow C_3H_4 + HO_2$	104 $N-C_7H_{16} + O_2 \rightarrow 2-C_7H_{15} + HO_2$
15f $2OH + M \rightarrow H_2O_2 + M$	45f $2CH_3 \rightarrow C_2H_6$	75f $C_3H_6 \rightarrow C_2H_3 + CH_3$	105f $1-C_7H_{15} + O_2 \rightarrow RO_2$
16f $H_2O_2 + OH \rightarrow H_2O + HO_2$	46f $CH_3O + M \rightarrow CH_2O + H + M$	76f $C_3H_6 + H \rightarrow C_3H_5 + H_2$	105b $RO_2 \rightarrow 1-C_7H_{15} + O_2$
17f $CO + OH \rightarrow CO_2 + H$	47f $CH_3O + H \rightarrow CH_2O + H_2$	77f $C_3H_6 + OH \rightarrow C_2H_5 + CH_2O$	106f $2-C_7H_{15} + O_2 \rightarrow RO_2$
18f $CO + HO_2 \rightarrow CO_2 + OH$	48f $CH_3O + O_2 \rightarrow CH_2O + HO_2$	78f $C_3H_6 + OH \rightarrow C_3H_5 + H_2O$	106b $RO_2 \rightarrow 2-C_7H_{15} + O_2$
19f $CO + O + M \rightarrow CO_2 + M$	49f $CH_2OH + M \rightarrow CH_2O + H + M$	79 $C_3H_6 + CH_3 \rightarrow C_3H_5 + CH_4$	107 $RO_2 \rightarrow RO_2H$
20 $CH + O_2 \rightarrow HCO + O$	50f $CH_2OH + H \rightarrow CH_2O + H_2$	80f $N-C_3H_7 \rightarrow CH_3 + C_2H_4$	108 $R'O_2H + O_2 \rightarrow O_2R'O_2H$
21 $CH + CO_2 \rightarrow HCO + CO$	51f $CH_2OH + O_2 \rightarrow CH_2O + HO_2$	81f $N-C_3H_7 \rightarrow H + C_3H_6$	109 $O_2R'O_2H \rightarrow HO_2R'O_2H$
22f $CH + H_2O \rightarrow CH_2OH$	52f $CH_4 + H \rightarrow H_2 + CH_3$	82f $N-C_3H_7 + O_2 \rightarrow C_3H_6 + HO_2$	110 $HO_2R'O_2H \rightarrow OR'O_2H + OH$
23f $HCO + M \rightarrow CO + H + M$	53f $CH_4 + OH \rightarrow H_2O + CH_3$	83f $1-C_4H_8 \rightarrow C_3H_5 + CH_3$	111 $OR'O_2H \rightarrow OR'O + OH$
24f $HCO + O_2 \rightarrow CO + HO_2$	54f $HCCO + H \rightarrow 3-CH_2 + CO$	84f $1-C_4H_8 + OH \rightarrow N-C_3H_7 + CH_2O$	112 $OR'O \rightarrow CH_2O + 1-C_5H_{11} + CO$
25f $3-CH_2 + H \rightarrow CH + H_2$	55 $HCCO + O \rightarrow 2CO + H$	85 $P-C_4H_9 \rightarrow C_2H_5 + C_2H_4$	
26f $23-CH_2 \rightarrow C_2H_2 + H_2$	56f $C_2H_2 + O_2 \rightarrow HCCO + OH$	86 $1-C_5H_{11} \rightarrow C_2H_4 + N-C_3H_7$	+ Selected reverse reactions
27f $3-CH_2 + CH_3 \rightarrow C_2H_4 + H$	57f $C_2H_2 + O \rightarrow 3-CH_2 + CO$	87 $C_6H_{11} \rightarrow C_3H_5 + C_3H_6$	
28f $3-CH_2 + O_2 \rightarrow CO + OH + H$	58f $C_2H_2 + O \rightarrow HCCO + H$	88 $1-C_6H_{12} \rightarrow N-C_3H_7 + C_3H_5$	
29f $3-CH_2 + O_2 \rightarrow CO_2 + H_2$	59f $C_2H_3 \rightarrow C_2H_2 + H$	89 $1-C_6H_{12} + H \rightarrow C_6H_{11} + H_2$	
30f $1-CH_2 + M \rightarrow 3-CH_2 + M$	60 $C_2H_3 + O_2 \rightarrow CH_2O + HCO$	90 $1-C_6H_{12} + OH \rightarrow C_6H_{11} + H_2O$	

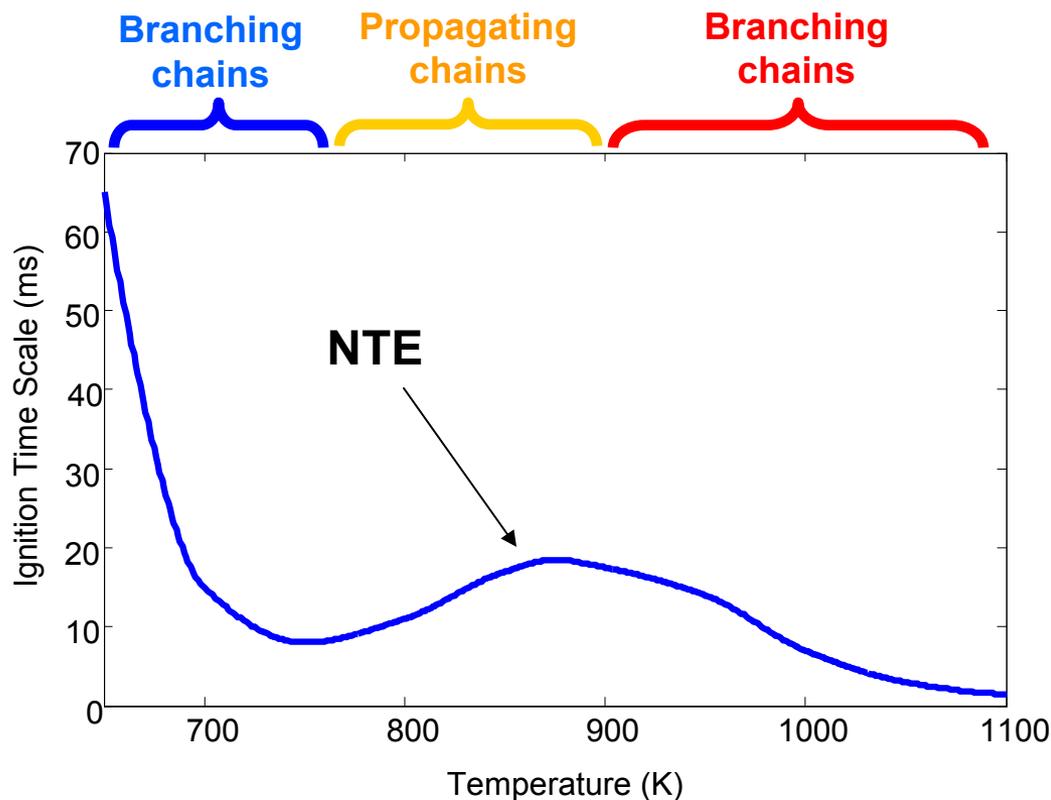
'Skeletal' *n*-heptane mechanism by Liu *et al.* (2004)[†]: 43 species, 185 reactions

Chemical Context: Parallel reaction pathways depend strongly on temperature and species



As T increases, reaction rate rises, falls, and rises again as available pathways change. This creates the Negative Temperature Effect (NTE).

However, numerical studies of HCCI mechanisms reveal relatively simple global behavior



***n*-heptane mechanism from previous slide. Stoichiometric mixture, 8 atm and an inert to oxygen mole ratio of 5. Curve shifts with pressure and dilution, but basic features are preserved over wide range of conditions.**

'Low-Order' Modeling of HCCI Dynamics

- **Objective: Capture main features of cyclic variation in combustion (*i.e.*, HCCI instability associated with cycle-by-cycle residual coupling)**
- **Assumptions:**
 - **Global mass and/or heat balances used to generate mapping functions**
 - **Combustion kinetics approximated as global reaction rates that depend on temperature and gas composition at key points in the compression and/or combustion strokes**
 - **Iterating map over a range of residual (EGR) reveals regions of stability/instability**

Proposed HCCI Mapping Function

$$z(i+1) = r (1 - \eta_1(i)) (1 - \eta_2(i)) (1 + z(i))$$

- **Based on fuel-air mass balance**
- **$z(i)$ = residual unburned fuel-air returning to cycle i (normalized by the fresh air-fuel mass)**
- **r = fraction of the exhaust being recycled in the EGR**
- **$\eta_1(i), \eta_2(i)$ = fractional conversions of combined fuel-air mixture in compression and power strokes in cycle i , respectively**

Additional constraints/assumptions:

- **Stoichiometric fueling (equivalent amounts of air and fuel fed)**
- **Throttle adjusted for constant feed rate for fuel and air as EGR varies**
- **Combustion split between compression and power strokes**
- **Compression stroke heating is dominant factor controlling temperature at start of power stroke**

HCCI Mapping Function Details (1)

Burn fraction during stage 1:

$$\eta_1(i) = k_1 \frac{z(i)}{1 + z(i)}$$

- k_1 = compression stroke (stage 1) burn rate constant (0-1), fixed for given engine and speed
- Stage 1 burning proportional to fraction of residual unburned fuel

Mixture temperature at start of stage 1 (prior to compression):

$$T_m = (1 - r)T_a + rT_e$$

- T_a = air-fuel feed temperature
- T_e = exhaust temperature

Temperature at beginning of stage 2 (after compression and stage 1 combustion):

$$T^*(i) = T_m R^{\gamma-1} - h_1 (T_m - T_a) + \eta_1(i) (1 + z(i)) (1 - r) \Delta T$$

- R = compression ratio
- γ = ratio of constant pressure and constant volume heat capacities
- h_1 = wall heat transfer coefficient
- ΔT = adiabatic heating from combustion reaction

HCCI Mapping Function Details (2)

Burn fraction during stage 2:

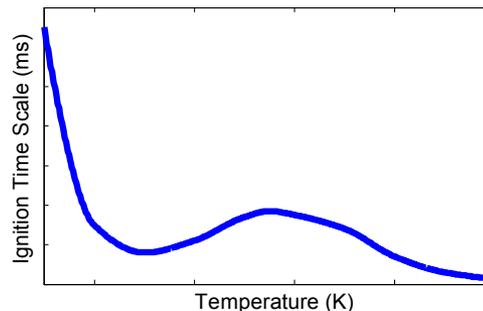
$$\eta_2(i) = 1 - \exp \left[\frac{\ln(0.9)}{(t_2 / \tau)^{m+1}} \right]$$

- t_2 = time interval for power stroke burn (stage 2)
- τ = kinetic reaction time scale
- m = Wiebe profile constant
- Stage 2 burning follows Wiebe reaction profile

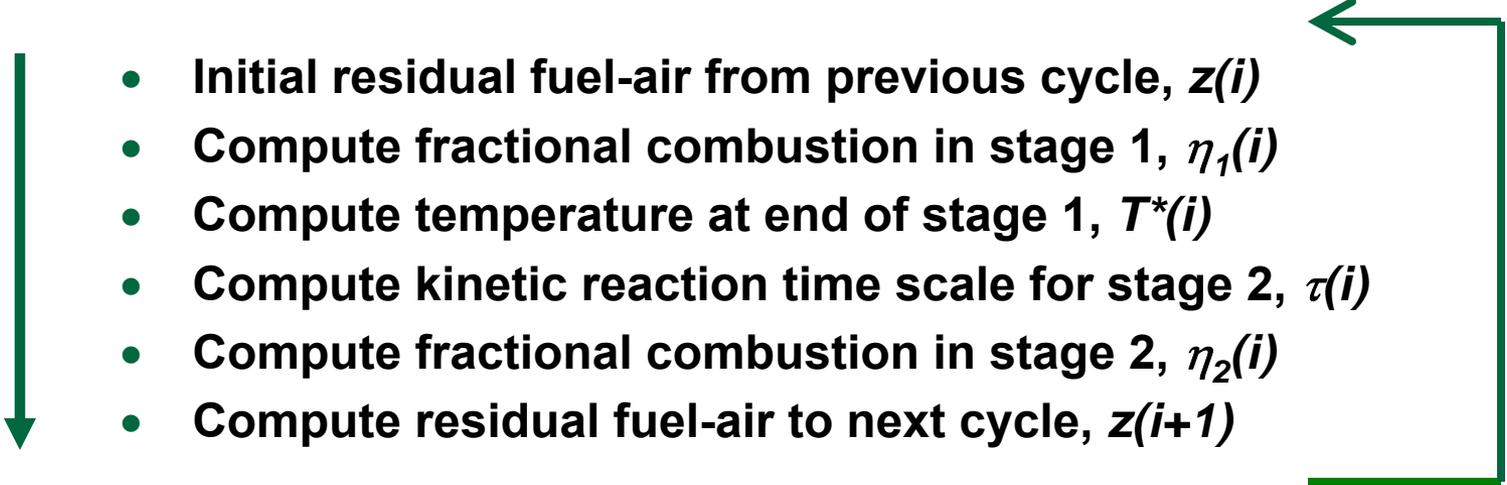
$$\ln(\tau) = aT^{*3} + bT^{*2} + cT^* + d + \frac{e}{T^*}; \quad T^* < 1100K$$

$$\ln(\tau) = p + q \ln(T^*); \quad T^* \geq 1100K$$

- a, b, c, d, e, p, q = constants determined by fitting results from numerical simulations of skeletal mechanism at average pressure of power stroke for a range of T^*

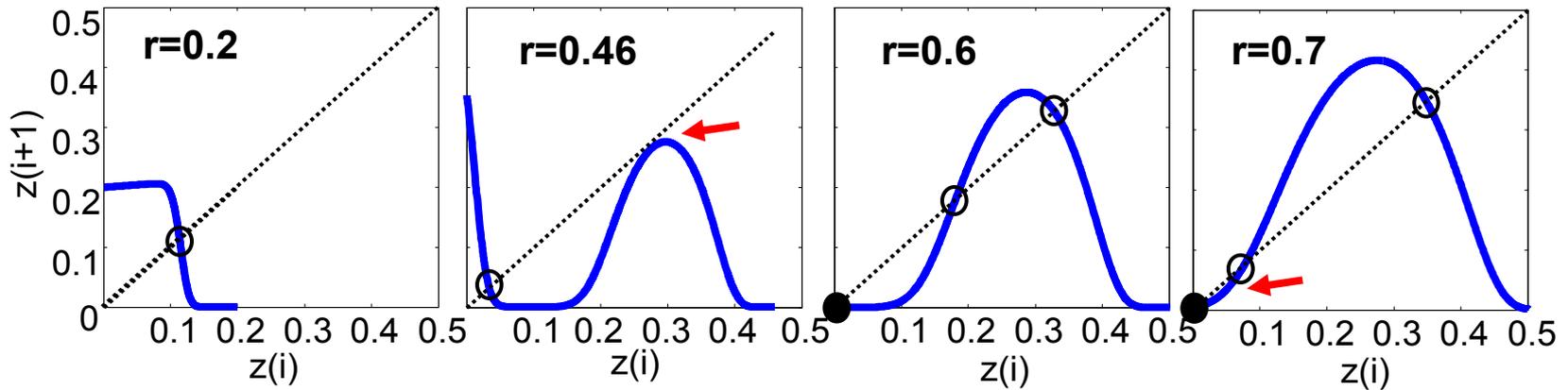


Iterating the HCCI Mapping Function

- 
- Initial residual fuel-air from previous cycle, $z(i)$
 - Compute fractional combustion in stage 1, $\eta_1(i)$
 - Compute temperature at end of stage 1, $T^*(i)$
 - Compute kinetic reaction time scale for stage 2, $\tau(i)$
 - Compute fractional combustion in stage 2, $\eta_2(i)$
 - Compute residual fuel-air to next cycle, $z(i+1)$

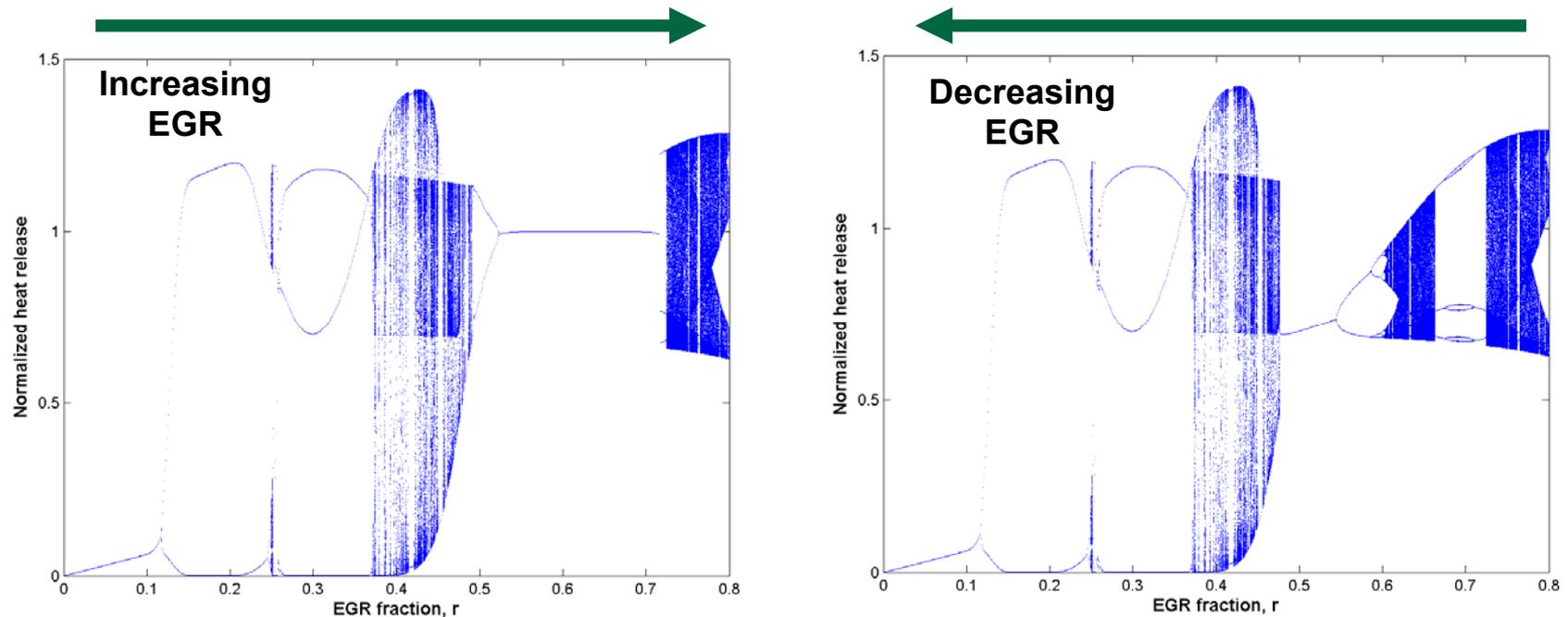
Note: All parameters except k_1 from engine specs, engineering correlations, or chemical kinetics.

HCCI Map Dynamics (1)



- **Solid circles (●) are stable fixed points**
- **Open circles (○) are unstable fixed points**
- **Red arrows (←) indicate tangent bifurcations**
- **At $r = 0.6$, chaos and a stable fixed point co-exist**

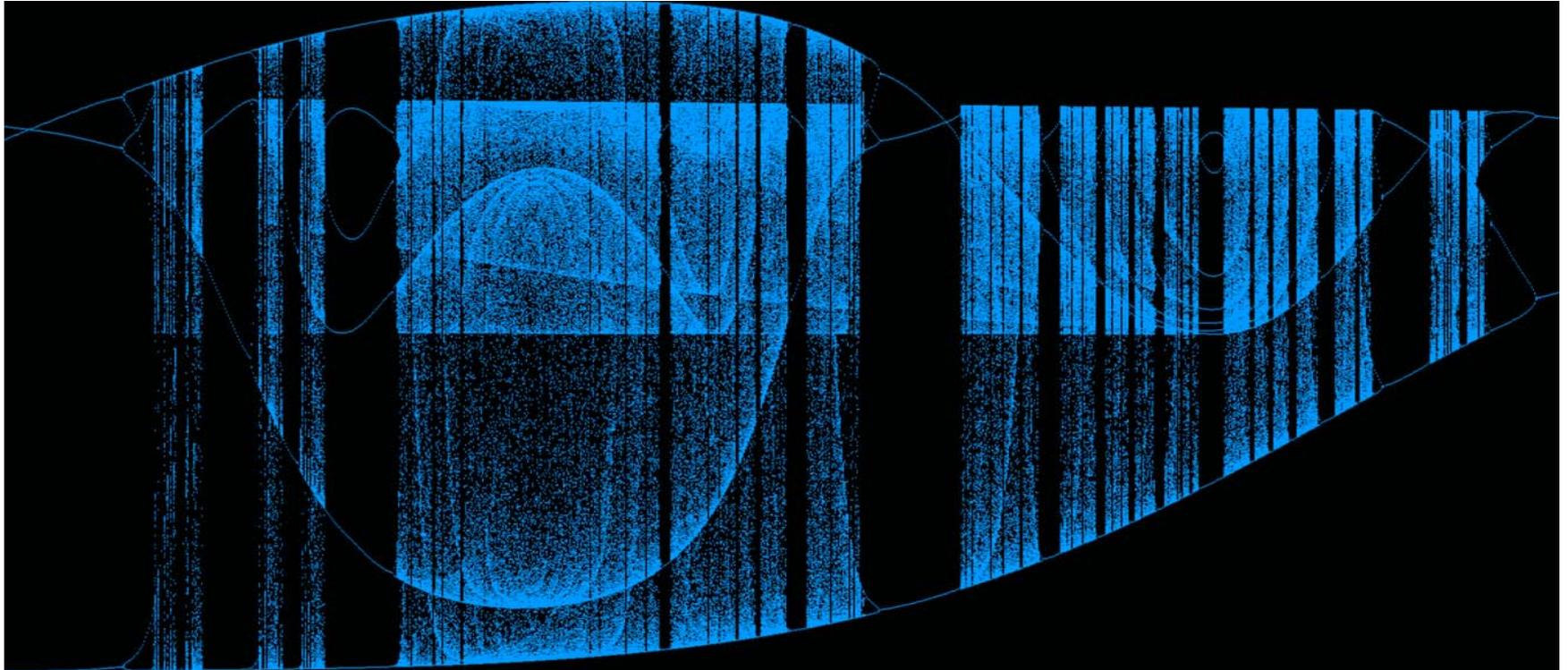
HCCI Map Dynamics (2)



Experiments actually measure heat release, $HR(i)$, which is related to $z(i)$ by:

$$HR(i) = \left[\eta_1(i) + \eta_2(i) - \eta_1(i) \eta_2(i) \right] \left[1 + z(i) \right]$$

HCCI Map Dynamics (2)

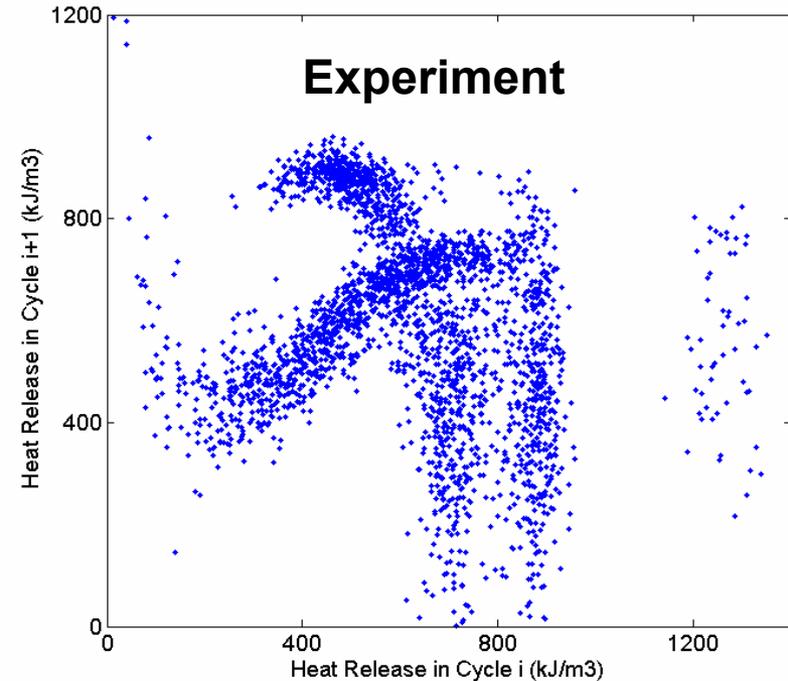
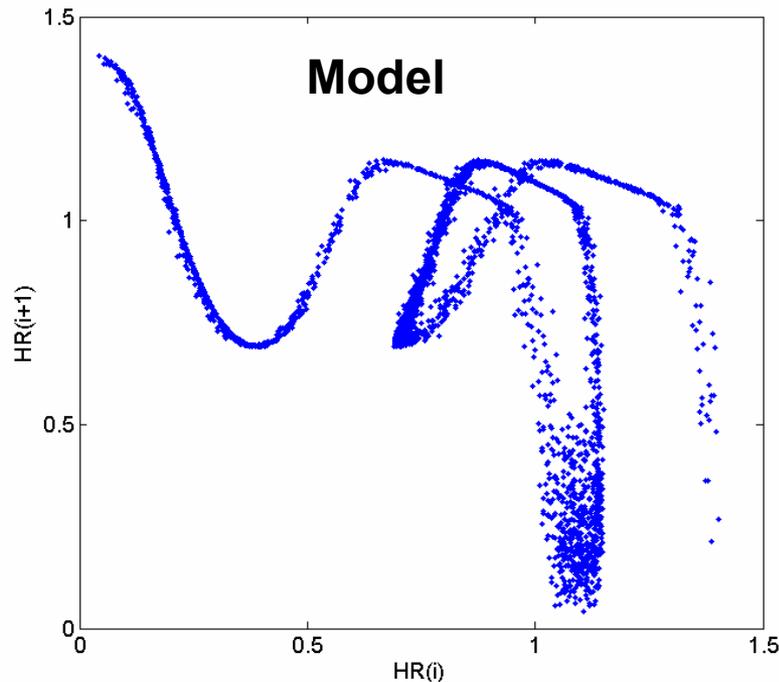


Experiments actually measure heat release, $HR(i)$, which is related to $z(i)$ by:

$$HR(i) = \left[\eta_1(i) + \eta_2(i) - \eta_1(i) \eta_2(i) \right] \left[1 + z(i) \right]$$

Comparison of HCCI Map with Experiment

$$r = \sim 46\%$$

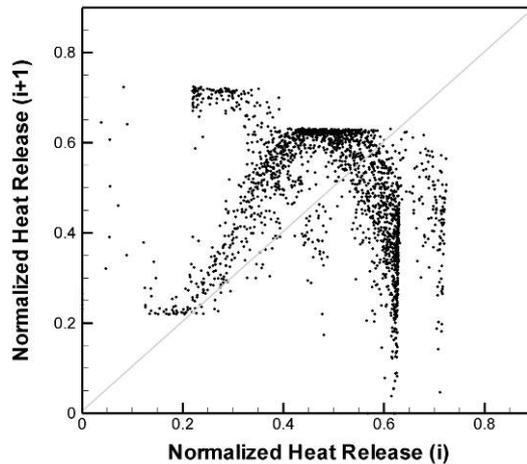


For model, dynamic noise added via $r = r_o + \mathcal{N}(0, \sigma)$, $\sigma = 0.01$. Reflects perturbations from background (e.g., flow turbulence, valve chatter).

Future Work

- Add spark-ignition mechanism for SI-HCCI transition
- Improve approximations for detailed kinetics
- Systematically explore SI-HCCI transition with experimental engines
 - Alternative fuel effects
 - Potential control parameters
 - Data-derived kinetics
- Develop and test on-line diagnostics and controls

Map from empirical kinetics



Experiment

